



PASHUPATI CAPITAL SERVICES PVT. LTD.

Member

National Stock Exchange of India Ltd. (CM, F&O & CD Segment)
Bombay Stock Exchange Ltd. (CM & F&O Segment)
Central Depository Services (INDIA) Ltd.
MCX Stock Exchange Ltd. (CM, F&O & CD Segment)

SEBI Reg. No.
INB 231097834 NSE (CM),
INF 231097834 NSE F&O),
INE 231097834 NSE (CD),
INB 011097830 BSE (CM),
INF 011185735 BSE (F&O),
INE 261097834 (MCX-SX),
CDSL DP ID 12029600

JOB POSTING

Job Position – Junior Compliance Officer

Experience – 2+ years in the compliance department of a stock broking firm.

Location – Goregaon West, Mumbai.

Posted – July 17th, 2019.

Company Profile:

Pashupati Capital promotes an entrepreneurial culture that is driven by our employees. We consistently seek to hire experienced traders who have a history of making money while managing risk, are motivated and decisive, and maintain a high level of personal integrity and confidence. Our most successful traders have developed a deep understanding of the nuances of exchange-traded derivatives. They have strong communication and mathematical skills and thrive while working in a fast-paced team-oriented environment. At Pashupati Capital, we are constantly looking for creative and innovative minds. Our traders and employees are the heart and soul of the company.

Job Description:

- Assist, report and work under our head compliance officer with his day to day activities.
- Actively involved in the interpretation and end to end implementation of circulars and regulations issued by exchanges and SEBI.
- Assist our head compliance officer with handling all inspection conducted by regulators, exchanges and depositories.
- Manage and assist with pay-in and pay-out on daily basis.

Required Experience/Skills:

- **2+ years in the compliance department of a stock broking firm.**
- NISM Series III-A: Securities Intermediaries Compliance Certificate & NISM Series VII: Securities Operations & Risk Management Certification **MANDATORY**
- **Bachelors of Business Administration** or higher
- Proficient with using handling Microsoft Office, FTF, ENIT compliance module.
- Proficiency in SQL is a plus

Offered Compensation:

- Holidays will be provided as per the NSE/BSE holiday calendar.
- Hours of work: Monday to Friday - 10AM to 8PM. Saturday – 11AM to 4:30PM.
- Salary to be discussed during the interview.

Reg d. Office: 701, Narayani Building, 7th Floor, 27 Trilokya, Maharaj Sarani, Brabourne Road, Kolkata. 700 001

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